

Complete if Known

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

1

of

3

Application Number

10/814,326

Filing Date

March 30, 2004

First Named Inventor

John BOYLAN et al.

Art Unit

3738

Examiner Name

H. Phan

Attorney Docket Number

01035.0028-04

U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS

Examiner Initials	Cite No. ¹	Document Number	Issue or Publication Date dd-month-yy	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
GAH		US-2003/0055449	20-Mar-03	Lee et al.	
		US-2002/0082681	27-Jun-02	Boylan et al.	
		US-2001/0049549	6-Dec-01	Boylan et al.	
		US-6,755,855	29-Jun-04	Yurek et al.	
		US-6,468,230	22-Oct-02	Muni et al.	
		US-6,461,453	8-Oct-02	Abrams et al.	
		US-6,432,116	13-Aug-02	Callister et al	
		US-6,419,693	16-Jul-02	Fariabi	
		US-6,380,457	30-Apr-02	Yurek et al.	
		US-6,375,629	23-Apr-02	Muni et al.	
		US-6,375,628	23-Apr-02	Zadno Azizi et al.	
		US-6,329,069	11-Dec-01	Azizi et al.	
		US-6,325,824	4-Dec-01	Limon	
		US-6,312,455	6-Nov-01	Duerig et al.	
		US-6,312,454	6-Nov-01	Stockel et al.	
		US-6,217,567	17-Apr-01	Zadno-Azizi et al.	
		US-6,190,332	20-Feb-01	Muni et al.	
		US-6,183,409	6-Feb-01	Armini	
		US-6,171,334	9-Jan-01	Cox	
		US-6,068,623	30-May-00	Zadno-Azizi et al.	
		US-6,059,810	9-May-00	Brown et al.	
		US-6,051,021	18-Apr-00	Frid	
		US-6,004,330	21-Dec-99	Middleman et al.	
		US-6,001,123	14-Dec-99	Lau	
		US-5,989,280	23-Nov-99	Euteneuer et al.	
		US-5,976,153	2-Nov-99	Fischell et al.	
		US-5,951,793	14-Sep-99	Mitose et al.	
		US-5,927,345	27-Jul-99	Samson	
		US-5,902,317	11-May-99	Kleshinski et al.	
		US-5,891,191	6-Apr-99	Stinson	
		US-5,885,381	23-Mar-99	Mitose et al.	
		US-5,843,244	1-Dec-98	Pelton et al.	
		US-5,720,754	24-Feb-98	Middleman et al.	
GAH		US-5,690,644	25-Nov-97	Yurek et al.	

IDS Form PTO/SB/08: Substitute for form 1449A/PTO			Complete if Known		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)			Application Number	10/814,326	
			Filing Date	March 30, 2004	
			First Named Inventor	John BOYLAN et al.	
			Art Unit	3738	
			Examiner Name	H. Phan	
Sheet	2	of	3	Attorney Docket Number	01035.0028-04

U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS					
GM		US-5,667,522	16-Sep-97	Flomenblit et al.	
		US-5,643,312	1-Jul-97	Fischell et al.	
		US-5,641,364	24-Jun-97	Goldberg et al.	
		US-5,637,089	10-Jun-97	Abrams et al.	
		US-5,632,746	27-May-97	Middleman et al.	
		US-5,630,840	20-May-97	Mayer	
		US-5,562,641	8-Oct-96	Flomenblit et al.	
		US-5,486,183	23-Jan-96	Middleman et al.	
		US-5,465,717	14-Nov-95	Imran et al.	
		US-5,411,476	2-May-95	Abrams et al.	
		US-5,201,901	13-Apr-93	Harada et al.	
		US-5,002,563	26-Mar-91	Pyka et al	
		US-4,881,981	21-Nov-89	Thoma et al.	
		US-4,770,725	13-Sep-88	Simpson et al.	
		US-4,740,253	26-Apr-88	Simpson et al.	
		US-4,631,094	23-Dec-86	Simpson et al.	
GM		US-4,505,767	19-Mar-85	Quin	

FOREIGN PATENT DOCUMENTS						
Examiner Initials ¹	Cite No. ¹	Foreign Patent Document	Publication Date dd-month-yy	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation ⁸
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
GM		WO 02 051462	04-Jul-02	Advanced Cardiovascular		
		WO 03 097148	27-Nov-2003	Scimed Life Sys. Inc.		
		WO 97 24978 A	17-Jul-97	Intella Interventional Sys.		
GM		EP 0 873 734	28-Oct-98	Nitinol Development Corp.		

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation ⁸
GM		US APP 09/452,516 filed 12/01/99	
		US APP 09/572,352 filed 05/17/00	
		Foreign patent office search report and written opinion for International Application No. PCT/US2005/004698	
		Yang, J. H. et al., <i>Stress-Induced transformation and Superelasticity in Ni-Ti-Nb Alloys</i> , Journal De Physique IV, pp. C8-771-776 (December 1995)	
GM		Stockel, D. et al., <i>Superelastic Ni-Ti-Wire</i> , Wire Journal International, pp. 45-50 (March 1991)	

IDS Form PTO/SB/08: Substitute for form 1449A/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Application Number	10/814,326
				Filing Date	March 30, 2004
				First Named Inventor	John BOYLAN et al.
				Art Unit	3738
				Examiner Name	H. Phan
Sheet	3	of	3	Attorney Docket Number	01035.0028-04

NON PATENT LITERATURE DOCUMENTS			
GM		Schetky, L.M., Shape Memory Alloys, <i>Scientific American</i> , pp. 74-82 (Nov. 1979)	
		Russell, S.M. et al., <i>Improved NiTi Alloys for Medical Applications</i> , Proceedings of the Second International Conference on Shape Memory and Superelastic Technologies, pp. 429-436, 1997	
		Proft, J.L. et al., <i>The Mechanical Aspects of Constrained Recovery</i> , Raychem Corp., pp. 115-129 (1985)	
		Perkins, J., <i>Ti-Ni and Ti-Ni-X Shape Memory Alloys</i> , Metals Forum, Vol 3. Pp. 153-163 (1981)	
		Pelton, A.R. et al., Optimisation of Processing and Properties of Medical Grade Nitinol Wire, <i>Min Invas Ther & Allied Technol</i> 200, 9(1), 107-118 (2000)	
		Otsuka, K. et al., <i>Shape Memory Effect</i> , Shape Memory Materials, p. 41 (1998)	
		Lindquist, P.G., Ph.D., Structure and Transformation Behavior of Martensitic Ti(Ni, Pd) and Ti(Ni, Pt) Alloys, <i>University of Illinois at Urbana-Champaign</i> (1988)	
		Lin, Z.C. et al., <i>The Study on Superelasticity of NiTiPt wire as a function of Application Temperature</i> , Technical Report, Guidant Corp., pp. 1-4 (June 19, 2003)	
		Enami, K. et al. Effect of W addition on the Martensitic Transformation and Shape Memory Behavior of The TiNi-Base Alloys, <i>Journal De Physique IV</i> , Vol. 5-C8, pp. 629-C86, December 1995	
		Duerig, T.W. et al., <i>Superelastic Nitinol for Medical Devices</i> , Medical Plastics and Biomaterials Magazine, pp. 1-14, March 1997.	
GM		Duerig, T.W. et al., "An Engineers Perspective of Pseudoelasticity," <i>The Mechanisms of Pseudoelasticity</i> , 369-393	

Examiner Signature	<i>Kenneth Hsu</i>	Date Considered	3/15/06
--------------------	--------------------	-----------------	---------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.